

Professional Paraplanner

Professional Paraplanner CPD Questions – December 2022 Issue

Professional Paraplanner is approved under the Chartered Institute for Securities & Investment's CPD accreditation scheme for financial planning to enable paraplanners to accrue CPD points for reading the publication. Complete and retain for CPD compliance purposes.

The number of credits will be determined by the length of time taken to read the articles within the magazine. Readers requiring Structured CPD points must read the magazine for at least 30 minutes and correctly answer the 10 questions on this page.

Under the CISI CPD Scheme all members must undertake a range of CPD activities in a year to demonstrate that they meet the requirements of the scheme. CPD activities undertaken during the year will fall under the following categories:

• Technical Knowledge • Ethics • Professional Standards • Personal Development • Practice Management

Members must satisfy themselves that the content is appropriate for their own development when allocating CPD points to their own record.

1. R0 Focus (p6)

Name one of the benefits of becoming a member of the CII/PFS

2. Paraplanner profile (p8)

Name the PFS voluntary initiative for helping younger generations to better understand finances.

3. Development (p10)

Who does the writer consider to be the audience for paraplanner communications? (Tick all that apply)

The client

The adviser

The regulator/FOS

The compliance officer

4. Legal (p11)

Preuptial agreements must be signed at least 28 days before marriage?

True

False

5. Planning (p12)

Write down the definition of financial resilience as described by the writer.

6. Exams (p14)

Perfectly negatively correlated assets move in the opposite direction to each other by the same amount.

True

False

7. Development (p18)

Name one of the standards encompassed by the 45003 wellbeing standard.

8. Sector report (p24)

Why has emerging markets performance been particularly affected by the Covid pandemic?

9. Sector report (p24)

Name one of the pros given for investing now in emerging markets

10. Data (p27)

The best performing IA sector in October 2022 was:

Commodity/Natural Resources

Healthcare

India/Indian Subcontinent

North America

Technology and Technology Innovations