

Professional Paraplanner

Professional Paraplanner CPD Questions – June 2022 Issue

Professional Paraplanner is approved under the Chartered Institute for Securities & Investment's CPD accreditation scheme for financial planning to enable paraplanners to accrue CPD points for reading the publication.
Complete and retain for CPD compliance purposes.

The amount of credits will be determined by the length of time taken to read the articles within the magazine. Readers requiring Structured CPD points must read the magazine for at least 30 minutes and correctly answer the 10 questions on this page.

Under the CISI CPD Scheme all members must undertake a range of CPD activities in a year to demonstrate that they meet the requirements of the scheme. CPD activities undertaken during the year will fall under the following categories:

• Technical Knowledge • Ethics • Professional Standards • Personal Development • Practice Management

Members must satisfy themselves that the content is appropriate for their own development when allocating CPD points to their own record.

1. Exams (p6)

The CII recommend a minimum of how many study hours for AF5?

100

125

150

2. Profile (P8)

Name one suggested tip for staying on top of your game as a paraplanner.

3. Planning (p10)

Complete these sentences for the FCA's CP21/13 consultation paper:

"Value needs to be considered in the round and low prices ...

4. Profession (p12)

Name one area of later life planning advice:

5. Profession (p12)

Name the four allowances that could enable a couple to generate £54,000 in tax efficient per annum:

1.

2.

3.

4.

6. Pensions (p14)

In due diligence, name one characteristic which may indicate a SIPP provider's capability/competency

7. Guide to ESG (p20)

One of the Government's initiative to help improve information available for financial decisions in relation to ESG is called:

8. Guide to ESG (p20)

ESG is specifically mentioned in the FCA's Consumer Duty consultation paper CP21/13.

True

False

9. Investment Committee (p28)

After falling from its high of 38,957 in 1989, Japan's Nikkei 225 did not pass the 30,000 mark again until which year?

1999

2007

2020

10. Data (p30)

The most researched IA sector in May 2022 was:

Global

Mixed Investment 40-85% Shares

UK All Companies

Unclassified

Volatility Managed